



2673
[Signature]

PATENT
Attorney Docket No. INK-039

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Drzaic *et al.* CONFIRMATION NO.: 8699
SERIAL NO.: 09/289,507 GROUP NO.: 2673
FILING DATE: April 9, 1999 EXAMINER: J. H. Nguyen
TITLE: Full Color Reflective Display with Multichromatic Sub-Pixels

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 2nd day of September, 2004.

Sandra Allen
Sandra Allen

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 pg);
2. Copy of Limited Recognition under 37 CFR § 10.9(b) (1 pg);
3. Fee Transmittal (1 pg);
4. Fourth Supplemental Information Disclosure Statement (2 pgs);
5. Form PTO-1449 (1 pg);
6. Copies of Cited References labeled C109-C111;
7. A check in the amount of \$180.00; and a
8. Return Receipt Postcard.



TRANSMITTAL FORM

Application Serial Number	09/289,507
Filing Date	April 9, 1999
First Named Inventor	Drzaic
Group Art Unit	2673
Examiner Name	J. H. Nguyen
Attorney Docket No.	INK-039
Patent No.	Not applicable
Issue Date	Not applicable

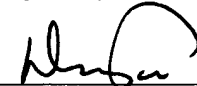
ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Check Attached (\$180.00)
<input type="checkbox"/> Copy of Fee Transmittal Form
<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]
<input type="checkbox"/> Petition for Extension of Time
<input checked="" type="checkbox"/> Fourth Supplemental Information Disclosure Statement (2 pgs)
<input checked="" type="checkbox"/> Form PTO-1449 (1 pg)
<input checked="" type="checkbox"/> Copies of IDS Citations (C109-C111)
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application
<input type="checkbox"/> Formal Drawing(s)
<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal
<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> CD(s) for large table or computer program
<input type="checkbox"/> Amendment After Allowance
<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 (1 pg)
<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input checked="" type="checkbox"/> Copy of Limited Recognition under 37 CFR § 10.9(b) (1 pg) |
|--|---|--|

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Date: September 2, 2004
Reg. No. Limited Recognition Under 37 C.F.R. § 10.9(b)
Customer No. 021323
Tel. No.: (617) 310-8078
Fax No.: (617) 248-7100
Duan Wu
Attorney for the Applicants
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110



BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Duan Wu is hereby given limited recognition under 37 CFR 10.9(b) as an employee of Testa, Hurwitz & Thibault LLP to prepare and prosecute patent applications wherein the patent applicant is the client of Testa, Hurwitz & Thibault LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Testa, Hurwitz & Thibault LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Duan Wu ceases to lawfully reside in the United States, (ii) Duan Wu's employment Testa, Hurwitz & Thibault LLP ceases or is terminated, or (iii) Duan Wu ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

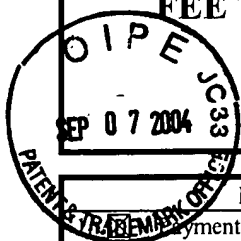
Expires: April 16, 2005

Harry I. Moatz
Director of Enrollment and Discipline

FEE TRANSMITTAL FY 2004

Complete if Known

Application Serial Number	09/289,507
Filing Date	April 9, 1999
First Named Inventor	Drzaic
Group Art Unit	2673
Examiner Name	J. H. Nguyen
Attorney Docket No.	INK-039



METHOD OF PAYMENT

Payment Enclosed:

☒ Check (\$180.00)

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.

☐ Required Fees (copy of this sheet enclosed).

☒ Additional fee required under 37 CFR 1.16 and 1.17.

☒ Overpayment Credit.

3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
770	Utility filing fee	
340	Design filing fee	
160	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
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Total Claims - 20 = x \$ 18.00 =

Independent Claims - 3 = x \$ 86.00 =

☐ Multiple Dependent Claim(s), if any \$290.00 =

TOTAL:

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 0.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 86.00 =

☐ First Presentation of Multiple Dep. Claim + \$290.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	
950	475	Extension for reply within third month	
1480	740	Extension for reply within fourth month	
2010	1005	Extension for reply within fifth month	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	180.00
770	385	Filing a submission after final rejection (37 CFR 1.129(a))	
770	385	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
110	55	Submission of Terminal Disclaimer	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$) 180.00

SUBTOTAL (1) 0.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 180.00

TOTAL (\$) 180.00

CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator
Testa, Hurwitz & Thibeault, LLP
High Street Tower-125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Date: September 2, 2004

Reg. No. Limited Recognition

Under 37 C.F.R. § 10.9(b)

Customer No.: 021323

Tel. No.: (617) 248-7808

Fax No.: (617) 248-7100

Duan Wu

Attorney for the Applicants

Testa, Hurwitz & Thibeault, LLP

High Street Tower

125 High Street

Boston, MA 02110

FORM PTO - 1449		ATTY DOCKET NO: INK-039 (2108 / 36)	
INFORMATION DISCLOSURE STATEMENT		APPLICANT: Drzaic, et al.	
		SERIAL NO.: 09/289,507	
		FILING DATE: April 09, 1999 GROUP: 2673	
OTHER ART, JOURNAL ARTICLES, ETC.			
Exam. Init.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
	C109	Kilmin, Administrative Patent Judge; Full Color Reflective Display with Multichromatic Sub-Pixels; 3/24/04; 1-9; Decision on Appeal No. 2004-0103	
	C110	Poteate, Administrative Patent Judge; Full Color Reflective Display with Multichromatic Sub-Pixels; 9/26/03; 1-7; Decision on Appeal No. 2003-1345	
	C111	Waltz, Administrative Patent Judge; Color Electrophoretic Displays; 6/17/04; 1-12; Decision on Appeal No. 2003-0784	
EXAMINER		DATE CONSIDERED	

3114326



PATENT
Attorney Docket No. INK-039

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Drzaic *et al.* CONFIRMATION NO.: 8699
SERIAL NO.: 09/289,507 GROUP NO.: 2673
FILING DATE: April 9, 1999 EXAMINER: J. H. Nguyen
TITLE: Full Color Reflective Display with Multichromatic Sub-Pixels

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the publications labeled C109-C111 are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☒ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page **and** the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Date: September 2, 2004
Reg. No. Limited Recognition
Under 37 C.F.R. § 10.9(b)
Customer No.: 021323
Tel. No.: (617) 248-7808
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